

## Standard Development Timeline

*This section is maintained by the drafting team during the development of the standard and will be removed when the standard becomes effective.*

### Development Steps Completed

1. SAR posted for comment (July 2, 2008 through July 31, 2008).
2. Revised SAR and response to comments posted (December 1, 2008).
3. SC authorized moving the SAR forward to standard development (December 16–17, 2008).
4. SDT appointed (February 12, 2009).
5. First draft of proposed standard posted (November 10, 2009).
6. Project became inactive until February, 2013.
7. Second draft of standard posted for 30 day informal comment period (July 25-August 23, 2013).

### Description of Current Draft

This is the ~~second~~third draft of the proposed standard and is being posted for stakeholder comments and an initial ballot. This draft includes the modifications based on comments submitted by stakeholders, as well as items identified in the SAR and applicable FERC directives from FERC Order 693.

Anticipated Actions	Anticipated Date
45-day Formal Comment Period with Parallel Initial Ballot	<del>July</del> <u>September - October</u> 2013
Recirculation ballot	<del>October</del> <u>December</u> 2013
BOT adoption	<del>November 2013</del> <u>February 2014</u>
File standard with regulatory authorities.	<del>December 2013</del> <u>February 2014</u>

### Effective Dates

~~First~~The first day of the ~~second~~first calendar quarter ~~beyond~~that is six months after the date that this standard is approved by ~~an~~ applicable ~~regulatory authorities, or governmental authority or as otherwise provided for~~ in ~~those jurisdictions~~a jurisdiction where ~~regulatory approval by an applicable governmental authority is required for a standard to go into effect. Where approval by an applicable governmental authority is not required, the standard becomes~~shall become effective on the first day of the ~~second~~first calendar quarter ~~beyond~~that is six months after the date this standard is ~~approved~~adopted by the NERC Board of Trustees, or as otherwise ~~made effective pursuant to the laws applicable to such ERO governmental authorities provided for in that jurisdiction.~~

### Version History

Version	Date	Action	Change Tracking
1.0	TBD	Adopted by the NERC Board of Trustees	New standard developed

## Definitions of Terms Used in Standard

*This section includes all newly defined or revised terms used in the proposed standard. Terms already defined in the Reliability Standards Glossary of Terms are not repeated here. ~~New or revised definitions listed below become approved when the proposed standard is approved.~~*

*When the standard becomes effective, these defined terms will be removed from the individual standard and added to the Glossary.*

*Proposed revision to existing definition:*

**Request for Interchange (RFI)** - A collection of data as defined in the NAESB Business Practice Standards RFI Datasheet, to be submitted to the Interchange Sink Balancing Authority for the purpose of implementing bilateral Interchange between a Source and Sink Balancing Authority **or within a single Balancing Authority.**

*When this standard has received ballot approval, the text boxes will be moved to the Application Guidelines Section of the Standard.*

**NOTE:** In November 2009, the Coordinate Interchange Standards Drafting Team (CISDT) posted a proposed new standard: INT-011-1—Interchange Coordination Support. That standard focused on the electronic capabilities required of entities for supporting Interchange coordination. After reviewing stakeholder comments on that posting and discussing the standard further, the CISDT determined that its contents would be better suited for the guideline and technical basis section of proposed INT-006-4. Because INT-011-1—Interchange Coordination Support never went before NERC’s Board of Trustees or FERC, the CISDT is reusing the INT-011-1 number here, for INT-011-1—Intra-Balancing Authority Transaction Identification.

### A. Introduction

1. **Title:**       **Intra-Balancing Authority Transaction Identification**
2. **Number:**   **INT-011-1**
3. **Purpose:**    To ensure that transfers within a Balancing Authority Area using Point to Point Transmission Service are communicated and accounted for in congestion management procedures.
4. **Applicability:**
  - 4.1. **Functional Entities:**
    - 4.1.1. Load-Serving Entities
5. **Background:**

This standard was created in response to a FERC directive in Order 693, paragraph 817: *In addition, e-Tagging of such transfers was previously included in INT-001-0 and the Commission is aware that such transfers are included in the e-Tagging logs. In short, the practice already exists, but if this Requirement is removed from INT-001-2, no Reliability Standard would require that such information be provided. We therefore will adopt the directive we proposed in the NOPR and direct the ERO to include a modification to INT-001-2 that includes a Requirement that interchange information must be submitted for all point-to-point transfers entirely within a balancing authority area, including all grandfathered and “non-Order No. 888” transfers.*

The transfers within a Balancing Authority Area using Point to Point Transmission Service can impact transmission congestion, and this standard ensures that these transfers are communicated and accounted for in congestion management procedures.

### B. Requirements and Measures

- R1. Each Load-Serving Entity that uses Point to Point Transmission Service for intra-Balancing Authority Area transfers shall submit a Request for Interchange unless the information about intra-Balancing Authority transfers is included in congestion

management procedure(s) via an alternate method. *[Violation Risk Factor: Lower]*  
*[Time Horizon: Operations Planning, Same-day Operations]*

- M1.** Each Load-Serving Entity subject to R1 shall have evidence, such as dated and time-stamped electronic records, documentation of congestion management procedures, or other similar evidence, that a Request for Interchange was submitted for each ~~intra-Balancing Authority transfer subject to R1~~ Point to R1 Point Transmission Service intra-Balancing Authority transfer subject to R1 or that each intra-Balancing Authority transfer subject to R1 was accounted for in congestion management procedure(s) via an alternate method. (R1)

## C. Compliance

### 1. Compliance Monitoring Process

#### 1.1. Compliance Enforcement Authority

Regional Entity

#### 1.2. Evidence Retention

The Load-Serving Entity shall keep data or evidence to show compliance with R1 for the most recent three months plus the current month unless directed by its Compliance Enforcement Authority to retain specific evidence for a longer period of time as part of an investigation.

If an entity is found non-compliant, it shall keep information related to the non-compliance until found compliant.

The Compliance Enforcement Authority shall keep the last audit records and all requested and submitted subsequent audit records.

#### 1.3. Compliance Monitoring and Assessment Processes:

Compliance Audits

Self-Certifications

Spot Checking

Compliance Investigation

Self-Reporting

Complaint

#### 1.4. Additional Compliance Information

None

**Table of Compliance Elements**

R #	Time Horizon	VRF	Violation Severity Levels			
			Lower VSL	Moderate VSL	High VSL	Severe VSL
<b>R1</b>	<i>Operations Planning, Same-day Operations</i>	<i>Lower</i>	N/A	N/A	N/A	The Load-Serving Entity used Point to Point Transmission Service for an intra-Balancing Authority Area transfer, and did not submit a Request for Interchange for an intra-Balancing Authority transfer that is not included in congestion management procedure(s) via an alternate method.

**D. Regional Variances**

None.

**E. Interpretations**

None.

**F. Associated Documents**

None.

## **Application Guidelines**

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### **Guidelines and Technical Basis**

#### **Requirement R1:**